

MIN
Sector \$ #7
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):
Andrew Thomas, et al.

Serial No.: 10/005,375

Filed: December 4, 2001

For: "ENABLING VOICE CONTROL OF
VOICE-CONTROLLED APPARATUS"

Commissioner for Patents
United States Patent and Trademark Office
Washington, D.C. 20231

Attn: Customer Service Center
Initial Patent Examination Division

Sir:

Group Art Unit: 2121

Examiner: unknown

Our Ref.: B-4408 619358-4

Date of this paper:

February 28, 2002

COPY OF PAPERS
ORIGINALLY FILED

COMPLETION OF FILING REQUIREMENTS

Responsive to office letter dated 1/03/2002 (copy attached).

DECLARATION OR OATH

- [X] Please find enclosed the Declaration/Power signed by inventor
Andrew THOMAS.
- [X] Please find enclosed the Declaration/Power signed by inventor
Stephen John HINDE.

AMENDMENT OF CLAIMS

- [] Please cancel claims _____ inclusive
- [] Please find enclosed an amendment deleting multiple dependencies

NOTE: The filing fees, fees for and surcharge fees listed below are
reduced by 50% where proof of a small entity status is
established on or before the date the fee is paid. If the
full fee is paid but a verified statement is filed within two
months of the date of payment of the first fee then the
excess fee paid will be refunded on request. Notice of
January 20, 1983. 1027 TMOG 114.

FEES

- [X] Filing fee (37 CFR 1.16(a) - 740.00) \$740.00
(small entity - 370.00)
- [] Fees for claims
- [] Independent claims in excess of 3
(37 CFR 1.16(b) - 84.00)
(small entity - 42.00)
- [] Claims in excess of 20
(37 CFR 1.16(c) - 18.00) _____
(small entity - 9.00)

CERTIFICATE OF MAILING (37 CFR 1.8a)

I hereby certify that this paper (along with any paper referred to as being attached or
enclosed) is being deposited with the United States Postal Service on the date shown below
with sufficient postage as first class mail in an envelope addressed to the:

Commissioner for Patents
United States Patent and Trademark Office
Washington, D.C. 20231

Attn: Customer Service Center
Initial Patent Examination Division

Date: February 28, 2002

Karvn Lao
(Typed or printed name of person mailing paper)

(Signature of person mailing paper)

Completion of Filing Requirements (Page 1 of 2)

☐ Multiple dependent claim(s)
(37 CFR 1.16(d) - 260.00)
(small entity - 130.00)

☒ Surcharge fees

☒ Late payment of filing fee
(37 CFR 1.16(e) - 130.00)
(small entity - 65.00)

AND

☒ Late filing of declaration or oath
(37 CFR 1.16(e) - 130.00) \$130.00
(small entity - 65.00)

☐ Petition fee for filing by person not
the inventor (37 CFR 1.17(h) \$120.00)

☐ Recording assignment
(37 CFR 1.21(h) (i) 40.00)

☐ Attached is an assignment to

Total fees: \$870.00

SMALL ENTITY STATUS

☐ A verified statement that this filing is by a small entity is

☐ Attached ☐ Already filed on _____
W/cover sheet

PAYMENT OF FEES

☒ Enclosed is a check in the amount of \$870.00.

☐ Charge Account No. 12-0415 in the amount of _____.
A duplicate of this request is attached.

NOTE: Fees should be itemized in such a manner that it is clear for which purpose
the fees are paid. 37 CFR 1.22(b).

AUTHORIZATION TO CHARGE ADDITIONAL FEES


☒ The Commissioner is hereby authorized to charge the following
additional fees which may be required or credit any overpayment to
Account No. 12-0415.

☒ 37 CFR 1.16 (filing fees and presentation of extra claims)

☒ 37 CFR 1.17 (application processing fees)

☐ 37 CFR 1.18 (issue fee at or before mailing of Notice of
Allowance, pursuant to 37 CFR 1.311(b)).

NOTE: 37 CFR 1.28(b) requires "Notification of any change in status resulting in
loss of entitlement to small entity status must be filed in the
application... prior to paying... issue fee."


Richard P. Berg
Attorney for the Applicant
Registration No.: 28,145

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Facsimile No.: (323) 934-0202

DECLARATION AND POWER OF ATTORNEY
FOR PATENT APPLICATION

MAR 08 2002

ATTORNEY DOCKET NO. 30004709 -2

As a below named inventor, I hereby declare that:

My residence/post office address and citizenship are as stated below next to my name;

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Enabling Voice Control Of Voice-Controlled Apparatus

the specification of which is attached hereto unless the following box is checked:

(X) was filed on Dec 04, 2001 as US Application No. or PCT International Application
Number 10/005375 and was amended on _____ (if applicable).

I hereby state that I have reviewed and understood the contents of the above-identified specification, including the claims, as amended by any amendment(s) referred to above. I acknowledge the duty to disclose all information which is material to patentability as defined in 37 CFR 1.56.

Foreign Application(s) and/or Claim of Foreign Priority

I hereby claim foreign priority benefits under Title 35, United States Code Section 119 of any foreign application(s) for patent or inventor(s) certificate listed below and have also identified below any foreign application for patent or inventor(s) certificate having a filing date before that of the application on which priority is claimed:

COUNTRY	APPLICATION NUMBER	DATE FILED	PRIORITY CLAIMED UNDER 35 U.S.C. 119
GB	0029573.3	Dec 5, 2000	YES: <input checked="" type="checkbox"/> NO: <input type="checkbox"/>
			YES: <input type="checkbox"/> NO: <input type="checkbox"/>

Provisional Application

I hereby claim the benefit under Title 35, United States Code Section 119(e) of any United States provisional application(s) listed below:

APPLICATION NUMBER	FILING DATE

U. S. Priority Claim

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code Section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Section 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

APPLICATION NUMBER	FILING DATE	STATUS (patented/pending/abandoned)

POWER OF ATTORNEY:

As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:

Customer Number **022879**Place Customer
Number Bar Code
Label hereSend Correspondence to:
HEWLETT-PACKARD COMPANY
Intellectual Property Administration
P.O. Box 272400
Fort Collins, Colorado 80527-2400**Direct Telephone Calls To:**Richard P. Berg
323 934 2300

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of Inventor: Andrew ThomasCitizenship: GBResidence: 57 San Benito Avenue Atherton California 94027-1931 USAPost Office Address: Same as Residence

Inventor's Signature _____

Date _____

COPY OF PAPERS
ORIGINALLY FILED

**DECLARATION AND POWER OF ATTORNEY
FOR PATENT APPLICATION (continued)**

ATTORNEY DOCKET NO. 30004709 -2

Full Name of # 2 joint inventor: Stephen John Hinde Citizenship: GB

Residence: 9 Dugar Walk Redland Bristol BS6 7DH England

Post Office Address: Same as residence

Inventor's Signature S. J. Hinde Date 29.01.02

Full Name of # 3 joint inventor: _____ Citizenship: _____

Residence: _____

Post Office Address: _____

Inventor's Signature _____ Date _____

Full Name of # 4 joint inventor: _____ Citizenship: _____

Residence: _____

Post Office Address: _____

Inventor's Signature _____ Date _____

Full Name of # 5 joint inventor: _____ Citizenship: _____

Residence: _____

Post Office Address: _____

Inventor's Signature _____ Date _____

Full Name of # 6 joint inventor: _____ Citizenship: _____

Residence: _____

Post Office Address: _____

Inventor's Signature _____ Date _____

Full Name of # 7 joint inventor: _____ Citizenship: _____

Residence: _____

Post Office Address: _____

Inventor's Signature _____ Date _____

Full Name of # 8 joint inventor: _____ Citizenship: _____

Residence: _____

Post Office Address: _____

Inventor's Signature _____ Date _____